

## From genes to behavior: How electrophysiological studies can provide insight into autism and other disorders

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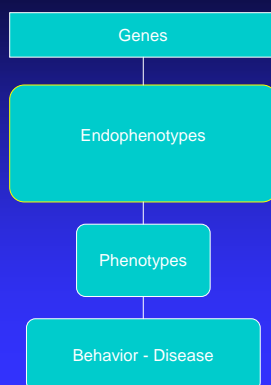
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## Behavioral diagnosis of PDDs



- Typically diagnosed around 3 years of age
- Can be reliably diagnosed at 18 months
- Retrospective home video studies and behavior studies in “at risk” infants distinguish at 12 months
- Pattern of behavioral change
  - ◆ 6 and 12 months
  - ◆ 12 and 18 months
- 4:1 ratio of males to females
- Social class distribution resembles that of the general population
- Equivalent distribution across racial and ethnic boundaries

## Part 1: From Genes to Behavior

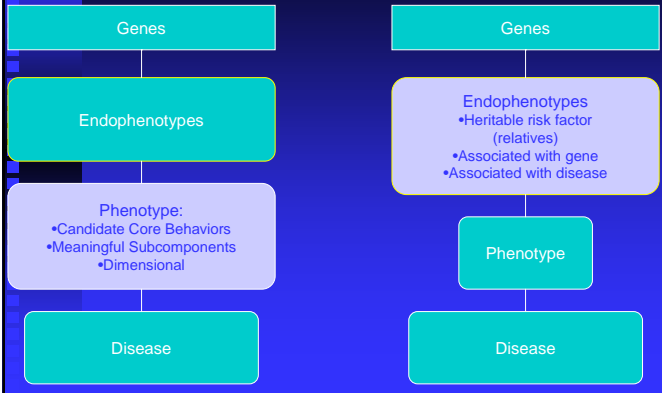


## Genes

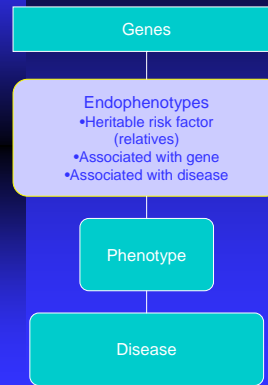
- 2q
- 7q - Language
  - ◆ FoxP, WNT2, HOXA1, HOXB1
  - ◆ RELN - neuronal signaling, synaptic transmission, plasticity
- 15q
  - ◆ Prader-Willi, Angelman Syndrome region
- X
  - ◆ FMR1 - Fragile X
  - ◆ MeCP2 - Rett's
  - ◆ NLGN2, NLGN3 (neuroligins)
- GABA receptor subunits (4q12; 5q34-35; 6q15; 15q12)
- Serotonin transport genes
- Differ by sex (male vs female affected families)
- Differ by parent of origin
- Aarskog syndrome (X)
- Angelman Syndrome (15q)
- Cornelia de Lange Syndrome (5)
- Fragile X (X- FMRP gene)
- Hypomelanosis of Ito
- Moebius syndrome
- Neurofibromatosis
- PKU
- Prader Willi Syndrome (15q)
- Rett Disorder (X- MeCP2 gene)
- Smith Lemli Opitz Syndrome (11q)
- Sotos Syndrome
- Tourette Syndrome
- Tuberous Sclerosis
- Williams Syndrome (7q)

<http://www.exploringautism.org/genetics/articles.htm>

## Intermediate steps

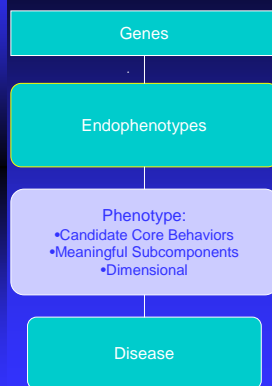


## Endophenotype



- Neurophysiology
  - ◆ EEG/ERP
- Biochemistry
  - ◆ Grey matter choline compounds
  - ◆ Serotonin
- Neuroanatomy
  - ◆ White matter
  - ◆ Mini columns
  - ◆ Brain growth
  - ◆ Cerebellum, Superior temporal sulcus, Fusiform gyrus, MTL, Amygdala

## Phenotype



- “Social Processing”
  - ◆ Face memory
  - ◆ Voice/tone
  - ◆ Emotion id and use
  - ◆ Social motivation
  - ◆ Social expressiveness
- Behavioral flexibility
- Language/conversation
- Executive functioning
- Global/local processing

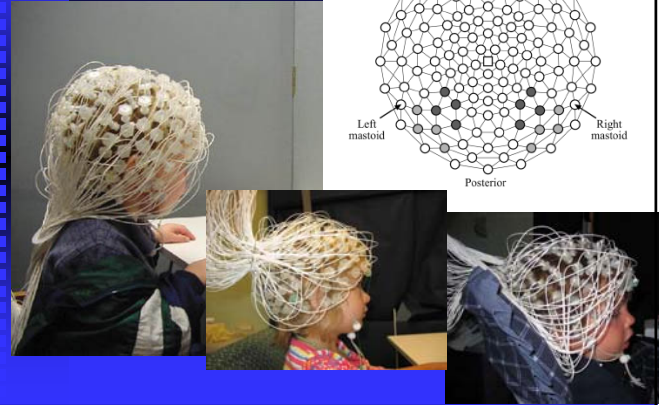
## Part 2: Electrophysiological insights

## EEG vs. ERP

- EEG - electroencephalogram
  - ◆ “Spontaneous” background activity
  - ◆ Reflects the state of the brain
  - ◆ Induced
  - ◆ Not time locked
- ERP- “event related” “evoked”
  - ◆ Time locked to a stimulus or behavior
  - ◆ Averaged



## Sensor Net Layout



- ERPs - latency, amplitude, & topography
- EEG - frequency, power, & topography
- Coherence (connectivity)



## Can EEG/ERP be used as endophenotypes? – Other disorders

- Heritability
  - ◆ Alcoholism (Almasy et al., 1999; Martin et al., 2005)
    - ◆ Theta 40-60%, Linked to 7
    - ◆ P300 amp & latency
  - ◆ Twins (Anokhin et al., 2004; Katsanis et al., 1998; Smit et al., 2005; vanBeijsterveldt van Baal, 2002)
    - ◆ Frontal N2/P3 amplitude 60%
    - ◆ P300 amp 50%
    - ◆ P300 lat 51%
    - ◆ EEG peak alpha power 77%
- At risk pop.
  - ◆ Dyslexia
    - ◆ Auditory ERP - phoneme processing
  - ◆ Alcoholism
    - ◆ Reduced amplitude P300 to novelty
  - ◆ Schizophrenia (relatives)
    - ◆ Prolonged latency

## Part 2b: Insights into autism

## N170 across development

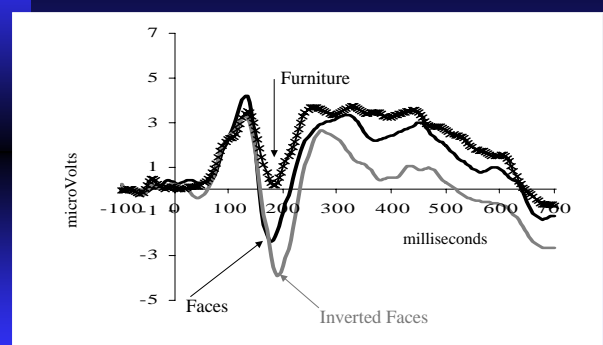
- ERP component that is elicited by Faces.
- Adults
  - ◆ Latency 140 to 170 msec
  - ◆ Greater & faster to faces than other stimuli
  - ◆ Right lateralized
- Children 3 to 11 years
  - ◆ Latency 280 msec --> 180 msec
  - ◆ Right lateralized

Bentin et al., 1996; Taylor et al., 1999; 2001; Webb, Dawson et al., 2006

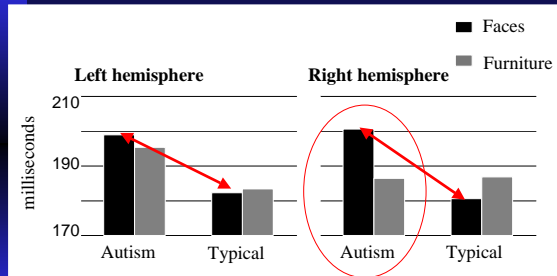
## ERPs- Event Related Potentials

- Model –
  - ◆ Collection during stimuli / task known behavioral impairment
- ◆ Autism - Face Processing
  - ◆ Face memory is phenotype of disorder
  - ◆ Identify stage of disruption

## N170



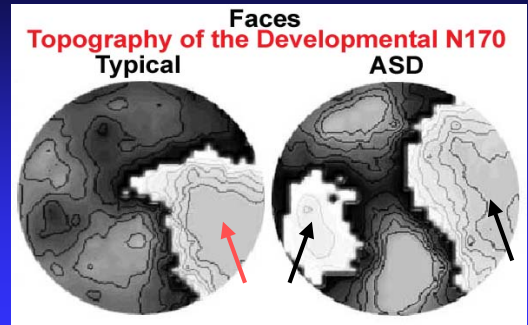
## N170 - Adults and Adolescents with ASD



- In ASD, slower response to faces
- In ASD, faster RT response to furniture than faces

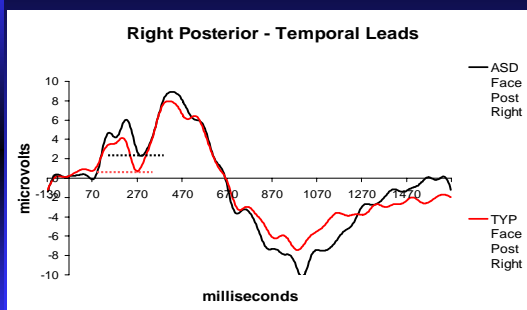
McPartland, Dawson, Webb, Panagiotides, & Carver, 2005

## Precursor N170- 3 to 4 year olds with ASD



Dawson, Webb et al. (2004)

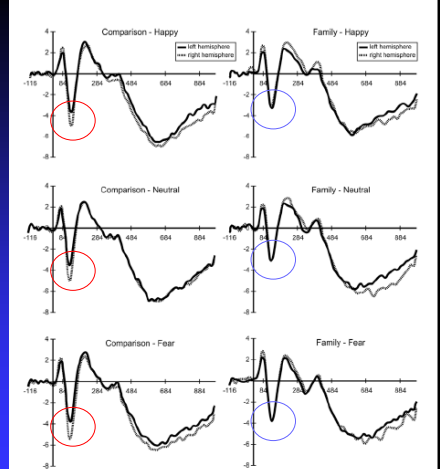
## Precursor N170- 3 to 4 year olds with ASD



Webb, Dawson, Panagiotides, & Bernier (2006)

## N170 - Parents of children with ASD

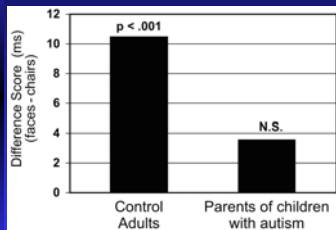
- Lack of right hemisphere specialization



Dawson, Webb, Estes, Munson & Faja (in review)

## N170 -

### Parents of children with ASD



■ In controls  
faces < furniture

■ In parents,  
faces = furniture

Dawson, Webb, Wijsman, Schellenberg, Estes, Munson & Faja, 2005

## EEG Power

- Collection during resting or active state
- Model – Target processes that have known EEG correlates & known behavioral deficits
  - ◆ Autism – Imitation
    - ◆ Imitation deficits (behavior) in ASD
    - ◆ Identify abnormalities in neural patterns underlying observe/ imitate

## N170 - Endophenotype?

- Delayed temporal processing & abnormal cortical specialization
- Populations:
  - ◆ 3 to 4 year olds, 6 year olds, Adolescents & Adults, Parents (multiplex families)
- Related to behavior - yes
- Risk Factor - ?
- Heritable - ?

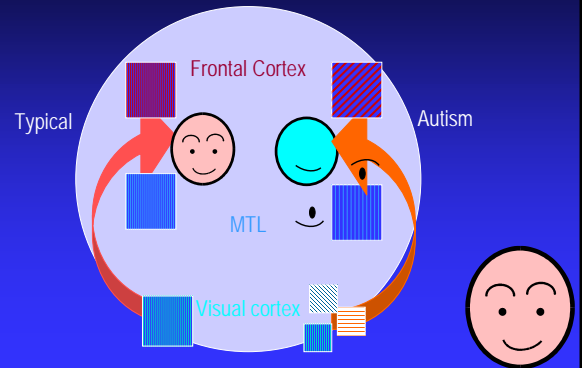
## Imitation & Mu

- Mu = 8 to 13 Hz over central leads
  - ◆ Execute, Observe, Imitate - Muthukumaraswamy et al., 2004
  - ◆ Ratio of power relative to resting
  - ◆ Log transformed due to non-normality or ratio data
  - ◆ Negative value representing attenuation

## EEG Power (wavelet)

- Collection during resting or active state
- Model – Target processes that have known EEG correlates
  - ◆ Autism – Feature (temporal) Binding
    - ◆ Parts based processing bias behavioral phenotype of ASD
    - ◆ Identify abnormalities in neural patterns that may contribute

## Temporal binding

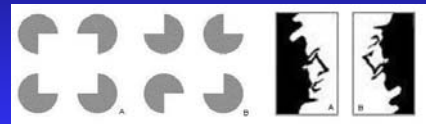


## Temporal binding & gamma

- Temporal binding
  - ◆ Neurons that respond to the same object are tagged by their temporal correlation during firing (Milner, 1974; von der Malsburg, 1981).
- Assessed by EEG Power in gamma band (30 to 80 Hz)
- Feature Binding (Muller et al., Tallon Baudrey et al.)
- Central coherence (Brock et al., 2001)

## Feature Binding thru temporal binding

- Binding of actual items to create additional (illusory) item
  - ◆ Kanisza figures
  - ◆ Mooney Faces
- ◆ Increase in gamma over visual cortex to perception of “illusory figures”
  - ◆ ~ 50 to 100 msec after stim onset



## Temporal Binding- Circuitry formation

- Binding of active neural regions to accomplish task efficiently
  - ◆ Delayed match to sample
    - ◆ Multiple stimuli types
    - ◆ Encoding
    - ◆ Delay (working memory)
    - ◆ Retrieval and Response

## Mu / Gamma - Endophenotypes ?

- ASD mu atypical
- ASD gamma typical (~)
- Atypical binding of frontal-occipital regions.
  
- Related to behavior – Yes (mu)
- Risk factor - ?
- Heritable - ?

## EEG - active state

- Mu –
  - ◆ Lack of mu attenuation during action observation
- Gamma –
  - ◆ Increase in gamma activity during working memory
  - ◆ Failure to link neural circuitry

## EEG Connectivity

- Collection during resting or active state
- Model: Theoretical description of neural systems / anatomy & behavior.
  - ◆ Autism
    - ◆ Individuals with autism have known white matter abnormalities
    - ◆ Proposed deficit in long range connections



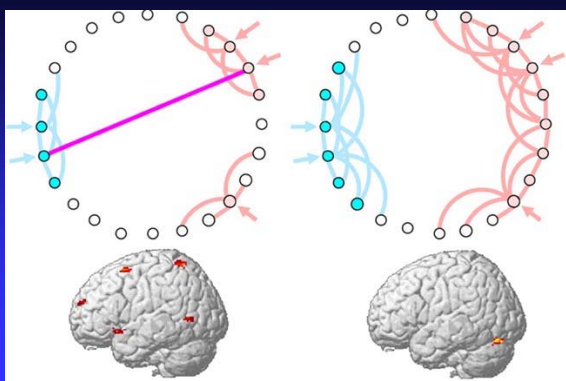
## Connectivity

- Coherence
  - ◆ Phase relations between two EEG signals
  - ◆ Squared correlation coefficient, expressed as a function of frequency
  - ◆ Coherence reflects the transmission of neural signals along axonal projections. (Nunez, 1981)

## Connectivity - Endophenotype?

- Band specific differences
- Relation between frontal and parietal/occipital
- Related to behavior –
  - ◆ Theoretically - yes
- Heritable -
  - ◆ Schizophrenia / Twins - yes
- Risk factor - ?

## Connectivity



Belmonte, Allen, Beckel-Mitchener, Boulanger, Carper, & Webb, 2004

## Conclusions, ASD

- Temporal slowing during early processing stages
- Lack of or atypical cortical specialization
- Alterations in resting and active state EEG
- Disrupted connectivity

## Part 3: Implications

## Implications for therapy

- Does intervention lead to
  - ◆ More efficient processing?
    - ◆ Latency
    - ◆ Amount of activation
  - ◆ Connectivity?
  - ◆ Compensation or normalization?

## Differentiation of disease states

- Common phenotypes
  - ◆ Face processing/memory
  - ◆ Attention
  - ◆ Working memory

## Collaborators

- |  |                           |
|--|---------------------------|
| ■ Geraldine Dawson PhD                           | ■ Raphael Bernier MA, PhC |
| ■ Elizabeth Aylward PhD                          | ■ Susan Faja MA           |
| ■ Andreas Keil (University of Konstanz, Germany) | ■ Karen Toth MA, PhC      |
| ■ James McParland PhD (Yale)                     | ■ Rebecca Groen           |
| ■ Mike Murias PhD                                | ■ Kristen Merkle          |
| ■ Heracles Panagiotides PhD                      | ■ Megan Paul              |
| ■ Neva Oskin PhD                                 | ■ Audrey Quinn            |
| ■ Todd Richards PhD                              | ■ Jessica Shook           |